

1.) CORPORATION NAME: <b>Sterne Agee Investment Advisors, Inc.</b>	DUE DATE: <b>5/31/2013</b>
2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: <b>NATIONAL REGISTERED AGENTS INC 4701 COX RD STE 301 GLEN ALLEN, VA</b>	SCC ID NO: <b>F1896879</b>
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: <b>HENRICO COUNTY</b>	5.) STOCK INFORMATION CLASS <input type="text"/> AUTHORIZED <input type="text"/>
4.) STATE OR COUNTRY OF INCORPORATION: <b>DE</b>	

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 800 SHADES CREEK PKWY  
STE 700

CITY/ST/ZIP: BIRMINGHAM, AL 35209

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: WALTER A RUCH III	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
TITLE: CHAIR/P/CEO			
ADDRESS: 800 SHADES CREEK PKWY			
CITY/ST/ZIP/CO: BIRMINGHAM, AL 35209			

NAME: JON B SANDERSON	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR	
TITLE: S/T/CFO			
ADDRESS: 800 SHADES CREEK PKWY			
CITY/ST/ZIP/CO: BIRMINGHAM, AL 35209			

NAME: JOHN F CALVANO	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR	
TITLE: CCO/SR VP			
ADDRESS: 800 SHADES CREEK PKWY			
CITY/ST/ZIP/CO: BIRMINGHAM, AL 35209			

NAME: JAY W CARTER	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
TITLE: DIRECTOR			
ADDRESS: 800 SHADES CREEK PKWY			
CITY/ST/ZIP/CO: BIRMINGHAM, AL 35209			

NAME: JAMES F DIXON	<input type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
TITLE: DIRECTOR			
ADDRESS: 800 SHADES CREEK PKWY			
CITY/ST/ZIP/CO: BIRMINGHAM, AL 35209			

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ JOHN FCALVANO	JOHN FCALVANO,	5/10/2013
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.