

1.) CORPORATION NAME: Dawson James Securities, Inc.	DUE DATE: 6/30/2013		
2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: NATIONAL REGISTERED AGENTS INC 4701 COX RD STE 301 GLEN ALLEN, VA	SCC ID NO: F1900002		
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: HENRICO COUNTY	5.) STOCK INFORMATION <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">CLASS</td> <td style="width: 50%;">AUTHORIZED</td> </tr> </table>	CLASS	AUTHORIZED
CLASS	AUTHORIZED		
4.) STATE OR COUNTRY OF INCORPORATION: FL			

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 1 North Federal Highway
Suite 500

CITY/ST/ZIP: BOCA RATON, FL 33432

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

NAME: THOMAS HANDS TITLE: PD ADDRESS: 1 North Federal Highway Suite 500 CITY/ST/ZIP/CO: BOCA RATON, FL 33432	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
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NAME: DON SHEK TITLE: ST ADDRESS: 1 North Federal Highway Suite 500 CITY/ST/ZIP/CO: BOCA RATON, FL 33432	<input checked="" type="checkbox"/> OFFICER	<input type="checkbox"/> DIRECTOR	
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NAME: Robert D Keyser TITLE: CEO/Director ADDRESS: 1 North Federal Highway Suite 500 CITY/ST/ZIP/CO: Boca Raton, FL 33432	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
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NAME: R. Douglas Armstrong TITLE: DIRECTOR ADDRESS: 1 North Federal Highway Suite 500 CITY/ST/ZIP/CO: Boca Raton, FL 33432	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR	
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I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ DON SHEK	DON SHEK, ST	5/24/2013
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.