

SCC eFile	2015 ANNUAL REPORT COMMONWEALTH OF VIRGINIA STATE CORPORATION COMMISSION	215529607
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1.) CORPORATION NAME: PROSPERA FINANCIAL SERVICES, INC.	DUE DATE: 5/31/2015								
2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: C T CORPORATION SYSTEM 4701 COX RD STE 285 GLEN ALLEN, VA	SCC ID NO: F1963265								
3.) CITY OR COUNTY OF VA REGISTERED OFFICE: HENRICO COUNTY	5.) STOCK INFORMATION <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">CLASS</th> <th style="width: 50%;">AUTHORIZED</th> </tr> </thead> <tbody> <tr> <td>PREF</td> <td style="text-align: right;">1,000,000</td> </tr> <tr> <td>COMV</td> <td style="text-align: right;">1,000,000</td> </tr> <tr> <td>COMNV</td> <td style="text-align: right;">100,000</td> </tr> </tbody> </table>	CLASS	AUTHORIZED	PREF	1,000,000	COMV	1,000,000	COMNV	100,000
CLASS	AUTHORIZED								
PREF	1,000,000								
COMV	1,000,000								
COMNV	100,000								
4.) STATE OR COUNTRY OF INCORPORATION: TX									

6.) PRINCIPAL OFFICE ADDRESS:

ADDRESS: 5429 LBJ FREEWAY STE 400

CITY/ST/ZIP: DALLAS, TX 75240

7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer.

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: DAVID STRINGER TITLE: PRES & TREA ADDRESS: 5429 LBJ FREEWAY STE 400 CITY/ST/ZIP/CO: DALLAS, TX 75240		

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: TIM EDWARDS TITLE: VICE PRESIDENT ADDRESS: 5429 LBJ FREEWAY STE 400 CITY/ST/ZIP/CO: DALLAS, TX 75240		

	<input checked="" type="checkbox"/> OFFICER	<input checked="" type="checkbox"/> DIRECTOR
NAME: RICHARD PASCUZZI TITLE: VICE PRESIDENT ADDRESS: 5429 LBJ FREEWAY STE 400 CITY/ST/ZIP/CO: DALLAS, TX 75240		

I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.

/s/ DAVID STRINGER	DAVID STRINGER, PRES & TREA	8/6/2015
SIGNATURE OF DIRECTOR/OFFICER LISTED IN THIS REPORT	PRINTED NAME AND CORPORATE TITLE	DATE

It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material respect with the intent that the document be delivered to the Commission for filing.